

# Federated Hermes Project and Trade Finance Tender Fund New Account Application



Complete this application to establish a new account. If the account has more than one shareholder, all singular references in the application apply to all shareholders.

The **USA PATRIOT Act** requires the Federated Hermes Funds (the **"Funds"**) to obtain, verify, and record information that identifies each person who opens an account. Failure to provide required information may result in processing delays. Additional documentation may be requested. If we are unable to verify this information, your account may be closed and you will be subject to all applicable costs.

Please complete all applicable fields using blue or black ink, and print clearly in capital letters.

## 1. Investment

Federated Hermes Project and Trade Finance Tender Fund      8 9 4 0      3 1 4 2 4 D 1 0 4  
 Fund Name      Fund Number      CUSIP

Purchase Amount (\$100,000 minimum)      Purchase Date (must be the last business day of the month)

The Fund intends to accept purchases as of the last business day of each calendar month, typically following 30 calendar days advance notice (which may be waived at the Fund's discretion.)

## 2. Ownership Information

Select one type of registration below.

### 2a. Legal Entity

If one of the entity types below is selected, you must complete the **Beneficial Owner Information and Control Person in Section 3** or provide an exemption reason in Section 2b.

Additional documentation is required, including, but not limited to, documentation verifying the entity's form of organization, such as **Articles of Incorporation or Corporate Resolution**.

- C-Corporation
- S-Corporation
- Partnership
- LLC
- Professional Corporation
- Non-Profit
- Business Trust
- Non-Exempt Entities
- Qualified Pension
- Profit Sharing Plan
- Insurance Company
- Government Agency
- Publicly Traded Corporation
- State or Federally Operated Entities
- Other (Please Specify) \_\_\_\_\_

Legal Entity Name      Tax ID Number      Date of Trust

Street Address      City      State      Zip Code

Mailing Address (if different)      City      State      Zip Code

### 2b. Exemption to Beneficial Owner Rule

If the legal entity selected in Section 2a qualifies for an exemption to the Beneficial Owner Rule, enter the reason for the exemption below. Please provide documentary evidence, if applicable.

\_\_\_\_\_

\_\_\_\_\_

### 3. Certification of Beneficial Owners and Controlling Person

This section is required.

To help the government fight financial crime, federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. This section, parts a and b, must be completed for the following legal entities: corporations, partnerships, and any other similar business entities formed in the United States or a foreign country.

#### 3a. Beneficial Owner Information

Provide the following information for each individual, *if any*, who directly or indirectly owns 25% or more of the equity interests of the legal entity listed in Section 2a. (e.g., each natural person that owns 25% or more of the shares of a corporation).

Beneficial Owner Not Applicable. Complete Section 3b.

Beneficial Owner(s) listed below:

**A.**     
Full Legal Name (First, MI, Last, Suffix) Percentage Date of Birth

Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)

Street Address (No P.O. Box) City State Zip Code

**B.**     
Full Legal Name (First, MI, Last, Suffix) Percentage Date of Birth

Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)

Street Address (No P.O. Box) City State Zip Code

**C.**     
Full Legal Name (First, MI, Last, Suffix) Percentage Date of Birth

Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)

Street Address (No P.O. Box) City State Zip Code

**D.**     
Full Legal Name (First, MI, Last, Suffix) Percentage Date of Birth

Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)

Street Address (No P.O. Box) City State Zip Code

#### 3b. Controlling Person

Provide the following information for an individual with significant responsibility for managing or directing the legal entity, including executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer). *Note: An individual listed in Section 3a may also be listed as the Controlling Person.*

Full Legal Name (First, MI, Last, Suffix) Title

Date of Birth Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)

Street Address (No P.O. Box) City State Zip Code

#### 4. Authorized Persons (Please complete, if applicable)

The persons listed here will be authorized to provide any and all trade instructions (i.e. purchase, exchange, transfer and redemption instructions). *Trade instructions are restricted to these individuals.*

1.	<input type="text"/> Name <input type="text"/> Phone Number	<input type="text"/> Title <input type="text"/> Email Address
2.	<input type="text"/> Name <input type="text"/> Phone Number	<input type="text"/> Title <input type="text"/> Email Address
3.	<input type="text"/> Name <input type="text"/> Phone Number	<input type="text"/> Title <input type="text"/> Email Address

#### 5. Bank Account Instructions – Repurchase of Shares (Required)

Bank Account Instructions are required for repurchases of Shares by the Fund. *Payment of the proceeds will be sent by wire to bank account instructions on file.*

<input type="text"/> Bank Name (Domestic Bank Only)	<input type="text"/> Branch		
<input type="text"/> Bank Street Address	<input type="text"/> City	<input type="text"/> State	<input type="text"/> Zip Code
<input type="text"/> Bank Phone Number	<input type="text"/> ABA Routing Number	<input type="text"/> Bank Account Number	
<input type="text"/> Name(s) on Account			
Account Type: <input type="checkbox"/> Checking <input type="checkbox"/> Savings			

#### 6. Dividend Reinvestment Plan

Please choose a distribution option below. If a distribution option is not chosen, pursuant to the Dividend Reinvestment Plan (DRP) established by the Fund, each shareholder will automatically be a participant in the DRP, with all income distributions (dividends and capital gains) automatically reinvested in additional shares. (Please see the "Dividend Reinvestment Plan" section of the prospectus for additional information.) Shareholders may elect to receive distributions by checking the appropriate box below and completing the Bank Account Instructions in this section.

**I elect the distribution option indicated below:**

- Participate in the Dividend Reinvestment Plan
- Mail check to address of record
- Deposit distributions into the bank below. **Distributions are deposited by ACH only.**

<input type="text"/> Bank Name (Domestic Bank Only)	<input type="text"/> Branch		
<input type="text"/> Bank Street Address	<input type="text"/> City	<input type="text"/> State	<input type="text"/> Zip Code
<input type="text"/> Bank Phone Number	<input type="text"/> ABA Routing Number	<input type="text"/> Bank Account Number	
<input type="text"/> Name(s) on Account			
Account Type: <input type="checkbox"/> Checking <input type="checkbox"/> Savings			

*If you elect cash distribution of income and Bank Account instructions are not provided, dividends and capital gains will be paid by check to the address of record on the account.*

## 7. Escheatment

### 7a. Lost Shareholder

It is important that you notify the Fund of any changes to your contact information, including both mail and email addresses. If the Fund's communications to you are returned as undeliverable, the Fund will try to reestablish contact with you. If those efforts fail and you are reasonably deemed to be a "lost shareholder," the Fund may elect to suspend account activity, program elections (including, systematic investment, withdrawal or exchange elections) and mailings. In addition, most states require entities like the Fund to turn over assets of lost shareholders following a period of time. Accordingly, the assets in your account may be transferred to the appropriate state if no activity or communication occurs in your account within the time period specified by state law. Once assets are "escheated" to a State, a shareholder will not be able to access their assets through the Fund. To avoid these kinds of problems, we urge you to keep your contact information with the Fund accurate at all times.

### 7b. Designated Representative

Residents of the state of Texas may opt to designate a representative to receive abandoned or unclaimed property (escheatment) notifications by completing the "Unclaimed Property Designation of Representative" form (the "Form"), which can be found on Texas' Comptroller's website. While the designated representative does not have any rights to claim or access the Shareholder's account or assets, the escheatment period will cease if the representative communicates knowledge of the Shareholder's location and confirms that the Shareholder has not abandoned his or her property. The completed Form **must** be mailed to the Fund (if your Shares are held directly with the Fund) or to your financial intermediary (if your Shares are not held directly with the Fund). If a Texas Shareholder opts to designate a representative to receive escheatment notifications, any escheatment notices will be delivered both to the Shareholder and the designated representative.

## 8. Clearing Firm/Investment Professional

### 8a. Clearing Firm/Custodial Agreement (Please complete, if applicable)

<input type="text"/>		<input type="text"/>				
Name of Clearing Firm/Custodian		Clearing Firm/Custodian Phone Number				
<input type="text"/>			<input type="text"/>		<input type="text"/>	<input type="text"/>
Mailing Address			City		State	Zip Code
<input type="text"/>		<input type="text"/>				
Clearing Firm/Custodian Tax ID Number		Clearing Firm/Custodian Account Number				
<input type="text"/>						
Clearing Firm/Custodian Authorization						

*Clearing Firm/Custodian will be required to have a selling agreement with the Funds for the Federated Hermes Project and Trade Finance Tender Fund.*

### 8b. Investment Professional Information

**Selection of the appropriate box is REQUIRED.**

- I **do not** want to add a Financial Intermediary to the new account.
- I would like to add the following Financial Intermediary to the new account. *The new instructions must be provided and the Financial Intermediary **must sign** below. If he/she does not provide a signature, no Financial Intermediary information will be added to the new account.*

<input type="text"/>			
Firm or Institution Name			
<input type="text"/>		<input type="text"/>	<input type="text"/>
Intermediary Name		Intermediary Number	Intermediary Phone Number
<input type="text"/>		<input type="text"/>	
Intermediary Signature		Date	

**9. Cost Basis Account Election Methods**

Please select one of the cost basis accounting methods listed below. If no standing order is elected or if no instructions are received with the redemption order, our default cost basis method of Average Cost (ACST) will be applied. ACST controls the selection of shares used to calculate the cost basis and computes the loss or gain on the redemption.

- Average Cost (ACST)
- First In First Out (FIFO)
- Last In First Out (LIFO)
- High Cost First Out (HIFO)
- Low Cost First Out (LOFO)
- Loss Gain Utilization (LGUT)\*
- Specific Lot Identification (SLID)\*  
If electing SLID, please choose a secondary method: \_\_\_\_\_

Note: Average Cost cannot be chosen as a secondary cost basis account method.

Internal Revenue Service regulations require mutual funds that produce tax Form 1099-B to report cost basis information on covered shares beginning January 1, 2012.

**This is a general interpretation of the tax laws. Specific questions about your situation can be directed to your tax or investment professional.**

\* Loss Gain Utilization will decrement lots taking losses first. For lots that yield a loss, short-term lots will be redeemed ahead of long-term lots. For gain, long-term lots will be redeemed ahead of short-term lots.

**10. Investor Representations, Rights, and Certifications**

By signing below, you represent that you:

- Have received and read the Prospectus for the Federated Hermes Project and Trade Finance Tender Fund wherein the Fund's investment objective, strategies, risks, and other terms and conditions are described.
- May not have access to the money you invest for an indefinite period of time.
- Should not expect to be able to sell your shares regardless of how the Fund performs. If all of your shares are not accepted for repurchase, you will be unable to reduce your exposure on any market downturn.
- Acknowledge that the shares are not liquid, there is no public market for the shares, and your shares may not be accepted for repurchase.
- Certify that you have authority and legal capacity to purchase Fund shares and are of legal age in your state.
- Acknowledge that Federated Hermes does not undertake to provide impartial investment advice, or to give advice in a fiduciary capacity for purposes of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), Section 4975 of the Internal Revenue Code of 1986, as amended ("Code") or otherwise in connection with the sale or distribution of its products and services to you and your retirement account customers.
- Acknowledge that Federated Hermes does not provide legal or tax advice.
- **For corporations, partnerships and other business entities:** I/we certify that each of the persons signing below has been elected and is now legally holding the office shown with his or her name, and is authorized to sell, assign, transfer and/or deliver any and all stocks, bonds, and/or other securities registered in the name of this corporation, partnership or business entity. I/we agree that the Funds or their transfer agent may rely upon this authorization until I/we notify the Funds or their transfer agent of any changes by submitting a corporate resolution that is certified within 12 months or a certificate of incumbency that is certified within 60 days of presentment.
- Acknowledge that the information provided in this Application is true, correct, and complete to the best of your knowledge.
- Acknowledge that: (i) if the Fund determines that you are a lost shareholder, all account activity, program elections and mailings may be suspended; and (ii) account assets may be transferred to the appropriate state if no activity or communication occurs in your account within the time period specified by state law.
- Acknowledge that you have received, read, and accepted the Privacy Policy and Notice.

**By signing below I certify that, under penalty of perjury:**

1. The taxpayer identification number shown on this form is correct, (or I am waiting for a number to be issued to me), and
2. The taxpayer listed on this form is not subject to backup withholding because: (a) it is exempt from backup withholding, (b) it has not been notified by the Internal Revenue Service (IRS) that it is subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified the taxpayer that it is no longer subject to backup withholding, and
3. Each owner of the Account is a U.S. person (including a U.S. resident alien).

The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

**All investors must sign exactly as their names appear in Section 2, including any capacity (Custodian, Trustee, etc.). For corporations, partnerships and other business entities, all authorized officers must sign, including titles (President, Treasurer, etc.).**

Signature and Title of Authorized Officer	Date
Signature and Title of Authorized Officer	Date

## 11. Mailing Instructions and Wiring Instructions

Please mail or fax this form and any other documentation to **Federated Hermes Project and Trade Finance Tender Fund**:

**Regular Mail:**

P.O. Box 219221  
Kansas City, MO 64121-9095

**Fax Number:**

(844) 616-8642  
Attn: Federated Hermes Project  
and Trade Finance Tender Fund

**Wiring Instructions:**

*Wire for purchase must be received no later than  
4:30 p.m. ET the business day prior to the purchase date.*

**Overnight Mail:**

430 W. 7th Street  
Suite 219221  
Kansas City, MO 64105

**Phone Number:**

Client Service Representative  
1-855-328-0109

UMB Bank N.A

Routing Number: 101000695

Account Number: 9872190858

Beneficiary: DST as agent for Federated Hermes Project  
and Trade Finance Tender Fund



# Privacy Policy and Notice

**Last Updated: January 1, 2024**

Federated Hermes, Inc. ("Federated Hermes," "we," "our," or "us") is committed to maintaining the confidentiality, security, and integrity of customer, client, and shareholder information. In this Privacy Notice, we describe how Federated Hermes obtains your nonpublic personal information ("Personal Information"), how it is used, and how it is kept secure.

California Residents: If you are a resident of California, you may have additional rights regarding your personal information. Please review our California Consumer Privacy Act ("CCPA") Notice regarding your rights under the CCPA. The applicable notice may be found here: <https://www.federatedhermes.com/us/policies/california-consumer-privacy-act-notice.do>.

## Personal Information Federated Hermes Collects

Federated Hermes may collect Personal Information about you from the following sources:

- We may collect Personal Information from you or your financial representative on account applications, other forms or electronically, such as your name, address, Social Security number, assets, and income.
- We may collect information from you or your financial representative through transactions, correspondence, and other communications, such as specific investments and account balances.
- We may obtain other Personal Information in connection with providing you a financial product or service, such as depository or debit account numbers.

## Information Sharing Policy

Except as described below, Federated Hermes does not share or disclose client, customer, or shareholder Personal Information. If you decide to close your account(s) or become an inactive customer, we will continue to follow these privacy policies and practices.

Federated Hermes will not disclose Personal Information, including account numbers, access numbers, or access codes for deposit or transaction accounts to any nonaffiliated third party for use in telemarketing, direct mail, or other marketing purposes.

Federated Hermes limits the sharing of Personal Information about you with financial and non-financial companies or other entities, including companies affiliated with Federated Hermes, and other, nonaffiliated third parties, to the following:

- Personal Information that is necessary and required to process a transaction or to service a client, customer, or shareholder relationship. For example, sharing Personal Information with a company that provides account record keeping services or proxy services to shareholders.
- Personal Information that is required or permitted by law. For example, to protect you against fraud or with someone who has a legal or beneficial interest, such as your power of attorney, or in response to a subpoena.
- Some or all of the information described above with companies that perform joint marketing or other services on our behalf. For example, with the financial intermediary (bank, investment advisor, or broker-dealer) through whom you purchased Federated Hermes products or services, or with providers of joint marketing, legal, accounting or other professional services.
- Personal Information (which may include anonymized Personal Information) with third-party vendors that offer Federated Hermes sales data and analytics services, which vendors are subject to confidentiality obligations. These services may include operational assistance, transaction processing, and assisting with sales and marketing efforts.

Notwithstanding any other provision of this Privacy Notice, for the avoidance of doubt, nothing herein prevents reporting possible violations of federal law or regulation to any governmental agency or entity or making other disclosures protected under the whistleblower provisions of federal law or regulation. However, the protections provided for Personal Information under state and federal privacy law is not superseded by the federal whistleblower rules. As a result, the release of Personal Information, even to a government agency or entity, remains protected under state and federal privacy rules, and could be considered a violation of federal privacy rules, until the SEC or other government entity specifically request the Personal Information to support a claim made by the whistleblower.

## Information Security

Federated Hermes uses federal guidance and standards to develop and implement its reasonable security safeguards to prevent unauthorized access to and otherwise protect your Personal Information. Specifically, Federated Hermes maintains physical, electronic, and procedural safeguards to protect your Personal Information, and has procedures in place for its appropriate disposal and protection against its unauthorized access or use when we are no longer required to maintain the information. Please refer to our Security Policy for further information regarding how Federated Hermes makes doing business with us online more secure and convenient here: <https://www.federatedhermes.com/us/policies/security-policy.do>.

If Federated Hermes shares Personal Information, it is made available for limited purposes and under controlled circumstances. We require third parties to comply with our standards for security, confidentiality, and integrity. These requirements are included in written agreements between Federated Hermes and such third-party service providers.

Each of the following sections explains an aspect of Federated Hermes' commitment to protecting your Personal Information and respecting your privacy.

### Employee Access to Personal Information

Federated Hermes employees must adhere to Federated Hermes' security, privacy, and confidentiality policies. Employee access to Personal Information is authorized for business purposes only and is based on an employee's need for the information to service client, customer, and shareholder accounts or comply with legal requirements.

### Visiting a Federated Hermes Website

- Federated Hermes' website maintains statistics about the number of visitors and the information viewed most frequently. These statistics are used to improve the content and level of service we provide to our clients, customers, and shareholders.
- Information or data entered into a website will be retained. The information we collect depends on how you use our website (see our Cookie Notice at: <https://www.federatedhermes.com/us/policies/cookie-notice.do>).
- "Cookies" are used to improve your online experience. A cookie is a small file stored on your computer that recognizes whether you have visited our site before and identifies you each time you visit.
- We may also obtain Internet Protocol ("IP") addresses to monitor the number of visitors to the site.

### Restricted Access Website

Federated Hermes provides restricted sections of its websites for investment professionals and certain customers, clients, or shareholders. Information entered in these sites is only accessible by those individual clients or shareholders, persons with whom they share access information, a limited number of Federated Hermes employees, and Federated Hermes' authorized service providers who maintain website functionality. Federated Hermes does not permit the use of that information for any purpose, or the renting, selling, trading, or otherwise releasing or disclosing of information to any other party.

### Email

If you have opted to receive marketing information from Federated Hermes by email, we require that all messages include instructions for canceling subsequent email programs. Some products or services from Federated Hermes are intended to be delivered and serviced electronically. Email communication may be utilized in such cases. Please do not provide any account or Personal Information such as Social Security numbers, account numbers, or account balances within your email correspondence to us. We will not use unsecured email to execute transaction instructions, provide personal account information, or change account registration.

### Surveys / Aggregate Data

Periodically, Federated Hermes may conduct surveys about financial products and services or review elements of information in an effort to forecast future business needs. We then generate reports that are used for Federated Hermes' own planning, analytical, and other related purposes.

### Changes to Our Privacy Notice

Federated Hermes reserves the right to modify this Privacy Notice at any time. We will notify you of any changes that may affect your rights under this Privacy Notice.

### We Welcome Your Comments

Federated Hermes welcomes your questions and comments about this Privacy Notice. Client Service Representatives are available at 1-800-341-7400, Option 4, Monday through Friday from 8:00 a.m. to 6:00 p.m. ET.

This Privacy Notice applies to Federated Hermes, Inc. and each of its wholly owned broker-dealer, investment advisor and other subsidiaries.