



## 2. Financial Intermediary Information (i.e., Broker, Planner, Advisor)

Selection of the appropriate box is **REQUIRED**.

- I **do not** want a Financial Intermediary on the new account.
- I would like to add the following Financial Intermediary to the new account. *The new instructions **must** be provided and the Financial Intermediary **must sign** below. If he/she does not provide a signature, no Financial Intermediary information will be added to the account.*

<input type="text"/>	<input type="text"/>
Firm or Institution Name	Dealer Number/Branch or Group Number/Branch
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Branch Address	City State Zip Code
<input type="text"/>	<input type="text"/> <input type="text"/>
Intermediary Name	Intermediary Number Intermediary Phone Number
<input type="text"/>	<input type="text"/>
Intermediary Signature	Date

**Attention Financial Intermediaries:** Please ensure your firm's corporate policy permits direct-at-fund accounts prior to establishment, to avoid potential delays in processing or account rejections.

## 3. New Account Registration

Select **only one type of ownership** and enter the registration for the new account. Completion of Section 4 is required, unless the entity is a publicly traded corporation or federal/state regulated bank. *Official documentation to verify the entity's form of organization is required. Please call Client Services for examples of acceptable documentation.*

- Non-Profit (061)       C-Corporation or Incorporated Bank, Savings & Loan (040) *Must have Inc. or Corp in entity name.*
- Partnership (041)      If publicly Traded Corporation, provide CUSIP number or Ticker Symbol
- Non-Exempt (063)      If Banking Institution, provide FDIC number
- Business Trust (070)       S-Corporation or S-Incorporated Bank, Savings & Loan (440) *Must have Inc. or Corp in entity name.*

<input type="text"/>	<input type="text"/>	<input type="text"/>
Corporation, Partnership or Other Entity	Tax ID Number	Establishment Date
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Street Address	City	State Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Mailing Address (if different)	City	State Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Contact Person	<input type="text"/>	
<input type="text"/>	Email Address	
Daytime Phone Number	<input type="text"/>	
	Evening Phone Number	

### Country Where Organization was Established

- U.S.    Other

+ Country Where Organization was Established, if not U.S.

### Tax Residency

- U.S.    Other

+ Country of Tax Residence, if not U.S.

+ To claim tax treaty benefits, also complete and submit an IRS Form W8-BEN.

#### 4. Certification of Beneficial Owners and Controlling Person

To help the government fight financial crime, federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. This section, parts *a*, *b*, and *c*, must be completed for the following legal entities: corporations, partnerships, and any other similar business entities formed in the United States or a foreign country.

##### 4a. Beneficial Owner Information

Provide the following information for each individual, *if any*, who directly or indirectly owns 25% or more of the equity interests of the legal entity listed in Section 3 (e.g., each natural person that owns 25% or more of the shares of a corporation).

Beneficial Owner Not Applicable. Complete Section 4b.

Beneficial Owner(s) listed below:

**A.**     
Full Legal Name (First, MI, Last, Suffix) Percentage Date of Birth  
    
Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)  
     
Street Address (No P.O. Box) City State Zip Code

**B.**     
Full Legal Name (First, MI, Last, Suffix) Percentage Date of Birth  
    
Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)  
     
Street Address (No P.O. Box) City State Zip Code

**C.**     
Full Legal Name (First, MI, Last, Suffix) Percentage Date of Birth  
    
Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)  
     
Street Address (No P.O. Box) City State Zip Code

**D.**     
Full Legal Name (First, MI, Last, Suffix) Percentage Date of Birth  
    
Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)  
     
Street Address (No P.O. Box) City State Zip Code

##### 4b. Controlling Person

Provide the following information for an individual with significant responsibility for managing or directing the legal entity, including executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer). *Note: An individual listed in Section 4a may also be listed as the Controlling Person.*

Full Legal Name (First, MI, Last, Suffix) Title  
     
Date of Birth Social Security or Tax ID Number Passport Number (if not U.S. Citizen) Country (do not abbreviate)  
     
Street Address (No P.O. Box) City State Zip Code

##### 4c. Certification & Signature

I,  (name of person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature Date  
  
Legal Entity Identifier (Optional)

## 5. Escheatment

### 5a. Lost Shareholder

It is important that you notify the Fund of any changes to your contact information, including both mail and email addresses. If the Fund's communications to you are returned as undeliverable, the Fund will try to reestablish contact with you. If those efforts fail and you are reasonably deemed to be a "lost shareholder," the Fund may elect to suspend account activity, program elections (including, systematic investment, withdrawal or exchange elections) and mailings. In addition, most states require entities like the Fund to turn over assets of lost shareholders following a period of time. Accordingly, the assets in your account may be transferred to the appropriate state if no activity or communication occurs in your account within the time period specified by state law. Once assets are "escheated" to a State, a shareholder will not be able to access their assets through the Fund. To avoid these kinds of problems, we urge you to keep your contact information with the Fund accurate at all times.

### 5b. Designated Representative

Residents of the state of Texas may opt to designate a representative to receive abandoned or unclaimed property (escheatment) notifications by completing the "Unclaimed Property Designation of Representative" form (the "Form"), which can be found on Texas' Comptroller's website. While the designated representative does not have any rights to claim or access the Shareholder's account or assets, the escheatment period will cease if the representative communicates knowledge of the Shareholder's location and confirms that the Shareholder has not abandoned his or her property. The completed Form **must** be mailed to the Fund (if your Shares are held directly with the Fund) or to your financial intermediary (if your Shares are not held directly with the Fund). If a Texas Shareholder opts to designate a representative to receive escheatment notifications, any escheatment notices will be delivered both to the Shareholder and the designated representative.

## 6. Cost Basis Account Election Methods

**Note to Money Market Fund Investors:** Institutional, retail and government money market funds do not issue Form 1099-B. Therefore, it is not necessary to select a cost basis accounting method if you are **only** investing in money market funds. In addition, the IRS has provided a simplified, elective method for the recognition of gains/losses realized upon the disposition of **fluctuating, institutional money market fund shares**, referred to as the NAV method. You should consult your tax advisor to review this elective recognition method in light of your specific tax circumstances. Shareholders of all money market fund types will continue to receive Form 1099-DIV, if applicable, reporting distributions paid by the funds.

**Please select one of the cost basis accounting methods listed below.** If no standing order is elected or if no instructions are received with the redemption order, our default cost basis method of Average Cost (**ACST**) will be applied. **ACST** controls the selection of shares used to calculate the cost basis and computes the loss or gain on the redemption.

- Average Cost (ACST)
- First In First Out (FIFO)
- Last In First Out (LIFO)
- High Cost First Out (HIFO)

- Low Cost First Out (LOFO)
- Loss Gain Utilization (LGUT)<sup>+</sup>
- Specific Lot Identification (SLID)

If electing **SLID**, please choose a secondary method: \_\_\_\_\_

*Note: Average Cost cannot be chosen as a secondary cost basis account method.*

Internal Revenue Service regulations require mutual funds that produce tax Form 1099-B to report cost basis information on covered shares beginning January 1, 2012.

**This is a general interpretation of the tax laws. Specific questions about your situation can be directed to your tax or investment professional.**

<sup>+</sup> Loss Gain Utilization will decrement lots taking losses first. For lots that yield a loss, short-term lots will be redeemed ahead of long-term lots. For gain, long-term lots will be redeemed ahead of short-term lots.

## 7. Distribution Options

Please select the distribution option for each fund. If not selected, dividends and capital gains will be reinvested at the net asset value to purchase additional shares.

Fund Name and Share Class or Fund Number


Dividends

Cash  Reinvest

Cash  Reinvest

Cash  Reinvest

Capital Gains

Cash  Reinvest

Cash  Reinvest

Cash  Reinvest

Generally, a dividend and/or capital gain distribution by check that is less than \$25.00 will automatically be reinvested into your account, unless you have elected to receive cash distributions that are directly deposited into your bank account via wire or ACH.

If checks remain uncashed for 180 days, future dividends and capital gains will not be distributed but will be reinvested into your account.

## 8. Related Accounts

The front-end sales charge may be reduced or eliminated by combining purchases in all related accounts of the Funds.

- Letter of Intent for Class A or F Shares** — To reduce the sales charge on this purchase, you need to submit a Letter of Intent with this application, unless an existing Letter of Intent is on File. Contact your investment professional, the Funds, or their transfer agent to request the form, or complete the form online at [FederatedHermes.com/us](http://FederatedHermes.com/us) and print to sign.
- Concurrent Purchases and Accumulated Purchases** — I own shares of more than one fund/share class.

### 8a. Existing Accounts

<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund Name and Share Class	Fund Number	Full Account Number
<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund Name and Share Class	Fund Number	Full Account Number
<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund Name and Share Class	Fund Number	Full Account Number

### 8b. Additional Related Accounts

<input type="text"/>	<input type="text"/>
Related Account Owner (First, MI, Last, Suffix)	Social Security or Tax ID Number
<input type="text"/>	<input type="text"/>
Fund Name and Share Class	Full Account Number
<input type="text"/>	<input type="text"/>
Fund Name and Share Class	Full Account Number
<input type="text"/>	<input type="text"/>
Fund Name and Share Class	Full Account Number

To add additional existing and/or related accounts, attach a separate sheet that includes all information requested above; sign and date the sheet.

## 9. Online Account Access/Electronic Delivery (E-Delivery)

A full range of easy-to-use services are available to help you securely manage your investments online. For 24/7 access to your account information, transaction processing, and E-Delivery, simply sign up at *My Federated Hermes Account* under Account Login.

**Note:** Some trade restrictions may apply.

To go paperless, select to receive any or all of the following documents electronically:

- |   |   |
|---|---|
| <input type="checkbox"/> Account Statements         | <input type="checkbox"/> Newsletter and Marketing Materials |
| <input type="checkbox"/> Fund Documents and Reports | <input type="checkbox"/> Tax Documents (if applicable)      |
| <input type="checkbox"/> Proxy Materials            | <input type="checkbox"/> Select All                         |

**Note:** If you have selected to receive Account Statements electronically and wish to receive *one cumulative year-end paper statement*, check this box.

#### By consenting to E-Delivery, you understand:

1. In place of paper documents, you will receive an email notifying you when the items chosen to be received electronically are available online via [FederatedHermes.com/us](http://FederatedHermes.com/us).
2. E-Delivery will continue until you revoke your consent.
3. You must provide any changes to your email address. If emails are returned as undeliverable, your account will be reset to receive paper documents until a valid email address is provided.
4. E-Delivery is provided free of charge; however, your internet service provider and/or telephone company may charge you for internet access.

Please notify me when the selected information is available at:

<input type="text"/>
Email Address

## 10. Required Signature(s) of Individual(s) Requesting the Change

The individual(s) requesting the change (e.g., Trustee, Treasurer, President) **must** sign exactly as his/her name appears in the current registration, Order of Appointment, or Trust Agreement, including capacity (e.g., John Smith, Treasurer).

SIGN  
HERE

\_\_\_\_\_  
Signature and Title of Current Trustee, Treasurer, President, etc.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Phone Number

SIGN  
HERE

\_\_\_\_\_  
Signature and Title of Co-Trustee, Treasurer, President, etc.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Phone Number

An **original** STAMP2000 Medallion Guarantee ("MSG") is required. Please contact the guarantor *in advance* to confirm signature and document requirements. An MSG is designed to protect the account from fraud and may be obtained from any of the following institutions:

- bank or trust company;
- savings association;
- credit union; or
- broker, dealer, or securities exchange member.

Signature verification by a notary public is not an acceptable substitute.

### Original Medallion Guarantee

## 11. Required Signature of New Owner for Tax Identification Number Certification

An individual authorized to act on the new account **must** sign below, including capacity (e.g., Trustee, Treasurer, President).

**Under penalties of perjury, I certify that:**

1. The taxpayer identification number shown on this form is correct, and
2. The taxpayer listed on this form is not subject to backup withholding because: (a) it is exempt from backup withholding, or (b) it has not been notified by the Internal Revenue Service (IRS) that it is subject to backup withholding as a result of failure to report all interests or dividends, or (c) the IRS has notified the taxpayer that it is no longer subject to backup withholding, and
3. Each owner of the account is a U.S. person (including a U.S. resident alien).

The IRS does not require your consent to any provision of this form other than the certifications required to avoid backup withholding.

SIGN  
HERE

\_\_\_\_\_  
Signature and Title of Authorized Individual

\_\_\_\_\_  
Date

To certify the individuals authorized to act and request transactions on this account, the Funds require a certified Certificate of Incumbency or Corporate Resolution. Certification is valid for 12 months and is void if not dated.

*Note: Corporate Resolutions and Certificates of Incumbency **must** be renewed annually.*

## 12. Mailing Instructions

Please mail this form and any other documentation to **The Federated Hermes Funds:**

**Regular Mail:**

P.O. Box 219318  
Kansas City, MO 64121-9318

**Overnight Delivery:**

430 W 7<sup>th</sup> Street, Suite 219318  
Kansas City, MO 64105-1407

**Federated Hermes Client Services** 1-800-341-7400, Option 4

For more information, visit our website at [FederatedHermes.com/us](https://www.FederatedHermes.com/us)

Federated Shareholder Services Company



# Privacy Policy and Notice

**Last Updated: January 1, 2024**

Federated Hermes, Inc. ("Federated Hermes," "we," "our," or "us") is committed to maintaining the confidentiality, security, and integrity of customer, client, and shareholder information. In this Privacy Notice, we describe how Federated Hermes obtains your nonpublic personal information ("Personal Information"), how it is used, and how it is kept secure.

California Residents: If you are a resident of California, you may have additional rights regarding your personal information. Please review our California Consumer Privacy Act ("CCPA") Notice regarding your rights under the CCPA. The applicable notice may be found here: <https://www.federatedhermes.com/us/policies/california-consumer-privacy-act-notice.do>.

## Personal Information Federated Hermes Collects

Federated Hermes may collect Personal Information about you from the following sources:

- We may collect Personal Information from you or your financial representative on account applications, other forms or electronically, such as your name, address, Social Security number, assets, and income.
- We may collect information from you or your financial representative through transactions, correspondence, and other communications, such as specific investments and account balances.
- We may obtain other Personal Information in connection with providing you a financial product or service, such as depository or debit account numbers.

## Information Sharing Policy

Except as described below, Federated Hermes does not share or disclose client, customer, or shareholder Personal Information. If you decide to close your account(s) or become an inactive customer, we will continue to follow these privacy policies and practices.

Federated Hermes will not disclose Personal Information, including account numbers, access numbers, or access codes for deposit or transaction accounts to any nonaffiliated third party for use in telemarketing, direct mail, or other marketing purposes.

Federated Hermes limits the sharing of Personal Information about you with financial and non-financial companies or other entities, including companies affiliated with Federated Hermes, and other, nonaffiliated third parties, to the following:

- Personal Information that is necessary and required to process a transaction or to service a client, customer, or shareholder relationship. For example, sharing Personal Information with a company that provides account record keeping services or proxy services to shareholders.
- Personal Information that is required or permitted by law. For example, to protect you against fraud or with someone who has a legal or beneficial interest, such as your power of attorney, or in response to a subpoena.
- Some or all of the information described above with companies that perform joint marketing or other services on our behalf. For example, with the financial intermediary (bank, investment advisor, or broker-dealer) through whom you purchased Federated Hermes products or services, or with providers of joint marketing, legal, accounting or other professional services.
- Personal Information (which may include anonymized Personal Information) with third-party vendors that offer Federated Hermes sales data and analytics services, which vendors are subject to confidentiality obligations. These services may include operational assistance, transaction processing, and assisting with sales and marketing efforts.

Notwithstanding any other provision of this Privacy Notice, for the avoidance of doubt, nothing herein prevents reporting possible violations of federal law or regulation to any governmental agency or entity or making other disclosures protected under the whistleblower provisions of federal law or regulation. However, the protections provided for Personal Information under state and federal privacy law is not superseded by the federal whistleblower rules. As a result, the release of Personal Information, even to a government agency or entity, remains protected under state and federal privacy rules, and could be considered a violation of federal privacy rules, until the SEC or other government entity specifically request the Personal Information to support a claim made by the whistleblower.

## Information Security

Federated Hermes uses federal guidance and standards to develop and implement its reasonable security safeguards to prevent unauthorized access to and otherwise protect your Personal Information. Specifically, Federated Hermes maintains physical, electronic, and procedural safeguards to protect your Personal Information, and has procedures in place for its appropriate disposal and protection against its unauthorized access or use when we are no longer required to maintain the information. Please refer to our Security Policy for further information regarding how Federated Hermes makes doing business with us online more secure and convenient here: <https://www.federatedhermes.com/us/policies/security-policy.do>.

If Federated Hermes shares Personal Information, it is made available for limited purposes and under controlled circumstances. We require third parties to comply with our standards for security, confidentiality, and integrity. These requirements are included in written agreements between Federated Hermes and such third-party service providers.

Each of the following sections explains an aspect of Federated Hermes' commitment to protecting your Personal Information and respecting your privacy.

### Employee Access to Personal Information

Federated Hermes employees must adhere to Federated Hermes' security, privacy, and confidentiality policies. Employee access to Personal Information is authorized for business purposes only and is based on an employee's need for the information to service client, customer, and shareholder accounts or comply with legal requirements.

### Visiting a Federated Hermes Website

- Federated Hermes' website maintains statistics about the number of visitors and the information viewed most frequently. These statistics are used to improve the content and level of service we provide to our clients, customers, and shareholders.
- Information or data entered into a website will be retained. The information we collect depends on how you use our website (see our Cookie Notice at: <https://www.federatedhermes.com/us/policies/cookie-notice.do>).
- "Cookies" are used to improve your online experience. A cookie is a small file stored on your computer that recognizes whether you have visited our site before and identifies you each time you visit.
- We may also obtain Internet Protocol ("IP") addresses to monitor the number of visitors to the site.

### Restricted Access Website

Federated Hermes provides restricted sections of its websites for investment professionals and certain customers, clients, or shareholders. Information entered in these sites is only accessible by those individual clients or shareholders, persons with whom they share access information, a limited number of Federated Hermes employees, and Federated Hermes' authorized service providers who maintain website functionality. Federated Hermes does not permit the use of that information for any purpose, or the renting, selling, trading, or otherwise releasing or disclosing of information to any other party.

### Email

If you have opted to receive marketing information from Federated Hermes by email, we require that all messages include instructions for canceling subsequent email programs. Some products or services from Federated Hermes are intended to be delivered and serviced electronically. Email communication may be utilized in such cases. Please do not provide any account or Personal Information such as Social Security numbers, account numbers, or account balances within your email correspondence to us. We will not use unsecured email to execute transaction instructions, provide personal account information, or change account registration.

### Surveys / Aggregate Data

Periodically, Federated Hermes may conduct surveys about financial products and services or review elements of information in an effort to forecast future business needs. We then generate reports that are used for Federated Hermes' own planning, analytical, and other related purposes.

### Changes to Our Privacy Notice

Federated Hermes reserves the right to modify this Privacy Notice at any time. We will notify you of any changes that may affect your rights under this Privacy Notice.

### We Welcome Your Comments

Federated Hermes welcomes your questions and comments about this Privacy Notice. Client Service Representatives are available at 1-800-341-7400, Option 4, Monday through Friday from 8:00 a.m. to 6:00 p.m. ET.

This Privacy Notice applies to Federated Hermes, Inc. and each of its wholly owned broker-dealer, investment advisor and other subsidiaries.