

# R6 Shares Fluctuating Funds Eligibility Form



Use this form to confirm Investor Eligibility to purchase into the Class R6 Shares of Federated Hermes Fluctuating Funds (the "Funds").  
Please complete all applicable fields using blue or black ink, and print clearly in capital letters.

## 1. Eligible Investors

**R6 Shares are only available for investment by "Eligible Investors";** R6 Shares are generally not available for direct investment by "natural persons" (i.e. an investor other than a corporation, institution, endowment, investment advisor, or business entity).

Please check the appropriate box below to indicate which category qualifies you as an Eligible Investor.

Note: Federated Shareholder Services Company ("FSSC") may request that you provide documentation sufficient to verify your status as an Eligible Investor.

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>■ An investor participating in a no-load platform, network or other fee-based program offered by a financial intermediary, for example, a wrap-account or retirement platform where FSSC has entered into an agreement with the intermediary;</li> <li>■ A trustee/director, employee or former employee of the Fund, the Adviser, the Distributor and their affiliates; an immediate family member of these individuals, or a trust, pension or profit-sharing plan for these individuals;</li> <li>■ An employer-sponsored retirement plan;</li> <li>■ A trust institution investing on behalf of its trust customers;</li> <li>■ An investor, other than a natural person, purchasing shares directly from the Fund;</li> <li>■ A Federated Hermes Fund;</li> <li>■ An investor (including a natural person) who acquired R6 Shares pursuant to the terms of an agreement and plan of reorganization which permits the investor to acquire such shares; and</li> <li>■ In connection with an acquisition of an investment management or advisory business, or related investment services, products or assets, by Federated Hermes, Inc. ("FHI") or its investment advisory subsidiaries, an investor (including a natural person) who: (1) becomes a client of an investment advisory subsidiary of FHI; or (2) is a shareholder or interest holder of a pooled investment vehicle or product that becomes advised or subadvised by a FHI investment advisory subsidiary as a result of such an acquisition other than as a result of a fund reorganization transaction pursuant to an agreement and plan of reorganization.</li> </ul> | <p><b>YES</b></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> |
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If you are an Eligible Investor, as defined above, complete Section 2 and 3, and mail the completed form to the appropriate address provided in Section 4.

## 2. Eligible Investor Information

\_\_\_\_\_

Fund Name and Share Class or Fund Number

\_\_\_\_\_

Full Legal Name (First, MI, Last, Suffix)

\_\_\_\_\_

Full Account Number (If existing Shareholder)

\_\_\_\_\_

Address

\_\_\_\_\_

City

\_\_\_\_\_

State

\_\_\_\_\_

Zip Code

\_\_\_\_\_

Phone Number

\_\_\_\_\_

Email Address

## 3. Signature

By signing below, I certify that the information provided is accurate and current as of the date noted below.

\_\_\_\_\_

Investor Signature, including capacity

\_\_\_\_\_

Date

## 4. Mailing Instructions

Please mail this form to **The Federated Hermes Funds:**

<b>Regular Mail:</b>	<b>Overnight Delivery:</b>
P.O. Box 219318	430 W 7 <sup>th</sup> Street, Suite 219318
Kansas City, MO 64121-9318	Kansas City, MO 64105-1407

Client Services 1-800-341-7400, Option 4

For more information, visit our website at [FederatedHermes.com/us](http://FederatedHermes.com/us)

Federated Shareholder Services Company