

# Substitute Corporate Resolution Form



The secretary or other officer of a corporation, partnership or other entity completes this form to certify the names of officers authorized to request transactions and/or sign checks. This form eliminates the need to provide a certified corporate resolution with each transaction request.

*Note: Certification provided by this form is **valid for 12 months and must be renewed annually**. If not, requests for trades, account changes, and account information may be delayed.*

*Please complete all applicable fields using blue or black ink, and print clearly in capital letters.*

## 1. Account Information

Enter the information as it appears on the account statements.

Registration

Fund Name and Share Class **or** Fund Number

Full Account Number

Fund Name and Share Class **or** Fund Number

Full Account Number

Contact Name

Contact Phone Number

## 2. Authorized Signers

Name of Officer

Title

Name of Officer

Title

Name of Officer

Title

Name of Officer

Title

Name of Officer

Title

To name additional officers, attach a separate sheet that includes all information requested above; sign and date the sheet.

### 3. Acknowledgments and Signature Certification

To be in full force and effect, the officer executing this Substitute Corporate Resolution cannot be named in Section 2.

#### By signing below, you:

- Certify that each of the persons listed in Section 2 has been elected and is now legally holding the office shown with his or her name, and is authorized to redeem, assign, transfer and/or deliver any and all stocks, bonds, or other securities registered in the name of this corporation, partnership or business entity.
- Agree that the Funds or their transfer agent may rely on this authorization until you notify the Funds or their transfer agent of any changes by submitting a new corporate resolution that is certified within 12 months of presentment.

I, \_\_\_\_\_ an authorized officer of  
\_\_\_\_\_

Name of Corporation, Partnership or Business Entity

certify that this information is according to the actions duly taken by the Board of Directors of the corporation, organization or partnership. This information has not been repealed or amended and is in full force and effect.

#### If your corporation does not have a Corporate stamp or seal, an original Medallion guarantee is acceptable.

\_\_\_\_\_  
Signature of Authorized Officer & Capacity or Title

\_\_\_\_\_  
Date of Certification

#### Certification is void if not dated.

If an **original** STAMP200 Medallion guarantee is required, please contact the guarantor *in advance* to confirm signature and document requirements, and that the amount of the transaction can be insured. A Medallion guarantee is designed to protect the account from fraud and may be obtained from any of the following institutions participating in one of the Medallion guarantee programs:

- bank or trust company;
- savings association;
- credit union; or
- broker, dealer, or securities exchange member.

Corporate Stamp, Seal or Medallion Guarantee

Signature Verification by a notary public is not an acceptable substitute. The Signature Validation Program Stamp may be used for non-financial transactions.

### 4. Mailing Instructions

Please mail this form to **The Federated Hermes Funds:**

**Regular Mail:**  
P.O. Box 219318  
Kansas City, MO 64121-9318

**Overnight Delivery:**  
801 Pennsylvania Avenue, Suite 219318  
Kansas City, MO 64105-1307

**Client Services** 1-800-341-7400, Option 4

For more information, visit our website at [FederatedHermes.com/us](http://FederatedHermes.com/us)

Federated Shareholder Services Company



# Privacy Policy and Notice

**Last Updated: January 1, 2025**

Federated Hermes, Inc. ("Federated Hermes," "we," "our," or "us") is committed to maintaining the confidentiality, security, and integrity of customer, client, and shareholder information. In this Privacy Notice, we describe how Federated Hermes obtains your nonpublic personal information ("Personal Information"), how it is used, and how it is kept secure.

California Residents: If you are a resident of California, you may have additional rights regarding your personal information. Please review our California Consumer Privacy Act ("CCPA") Notice regarding your rights under the CCPA. The applicable notice may be found here: <https://www.federatedhermes.com/us/policies/california-consumer-privacy-act-notice.do>.

## Personal Information Federated Hermes Collects

Federated Hermes may collect Personal Information about you from the following sources:

- We may collect Personal Information from you or your financial representative on account applications, other forms or electronically, such as your name, address, Social Security number, assets, and income.
- We may collect information from you or your financial representative through transactions, correspondence, and other communications, such as specific investments and account balances.
- We may obtain other Personal Information in connection with providing you a financial product or service, such as depository or debit account numbers.

## Information Sharing Policy

Except as described below, Federated Hermes does not share or disclose client, customer, or shareholder Personal Information. If you decide to close your account(s) or become an inactive customer, we will continue to follow these privacy policies and practices.

Federated Hermes will not disclose Personal Information, including account numbers, access numbers, or access codes for deposit or transaction accounts to any nonaffiliated third party for use in telemarketing, direct mail, or other marketing purposes.

Federated Hermes limits the sharing of Personal Information about you with financial and non-financial companies or other entities, including companies affiliated with Federated Hermes, and other, nonaffiliated third parties, to the following:

- Personal Information that is necessary and required to process a transaction or to service a client, customer, or shareholder relationship. For example, sharing Personal Information with a company that provides account record keeping services or proxy services to shareholders.
- Personal Information that is required or permitted by law. For example, to protect you against fraud or with someone who has a legal or beneficial interest, such as your power of attorney, or in response to a subpoena.
- Some or all of the information described above with companies that perform joint marketing or other services on our behalf. For example, with the financial intermediary (bank, investment advisor, or broker-dealer) through whom you purchased Federated Hermes products or services, or with providers of joint marketing, legal, accounting or other professional services.
- Personal Information (which may include anonymized Personal Information) with third-party vendors that offer Federated Hermes sales data and analytics services, which vendors are subject to confidentiality obligations. These services may include operational assistance, transaction processing, and assisting with sales and marketing efforts.

Notwithstanding any other provision of this Privacy Notice, for the avoidance of doubt, nothing herein prevents reporting possible violations of federal law or regulation to any governmental agency or entity or making other disclosures protected under the whistleblower provisions of federal law or regulation. However, the protections provided for Personal Information under state and federal privacy law is not superseded by the federal whistleblower rules. As a result, the release of Personal Information, even to a government agency or entity, remains protected under state and federal privacy rules, and could be considered a violation of federal privacy rules, until the SEC or other government entity specifically request the Personal Information to support a claim made by the whistleblower.

## Information Security

Federated Hermes uses federal guidance and standards to develop and implement its reasonable security safeguards to prevent unauthorized access to and otherwise protect your Personal Information. Specifically, Federated Hermes maintains physical, electronic, and procedural safeguards to protect your Personal Information, and has procedures in place for its appropriate disposal and protection against its unauthorized access or use when we are no longer required to maintain the information. Please refer to our Security Policy for further information regarding how Federated Hermes makes doing business with us online more secure and convenient here: <https://www.federatedhermes.com/us/policies/security-policy.do>.

If Federated Hermes shares Personal Information, it is made available for limited purposes and under controlled circumstances. We require third parties to comply with our standards for security, confidentiality, and integrity. These requirements are included in written agreements between Federated Hermes and such third-party service providers.

Each of the following sections explains an aspect of Federated Hermes' commitment to protecting your Personal Information and respecting your privacy.

### **Employee Access to Personal Information**

Federated Hermes employees must adhere to Federated Hermes' security, privacy, and confidentiality policies. Employee access to Personal Information is authorized for business purposes only and is based on an employee's need for the information to service client, customer, and shareholder accounts or comply with legal requirements.

### **Visiting a Federated Hermes Website**

- Federated Hermes' website maintains statistics about the number of visitors and the information viewed most frequently. These statistics are used to improve the content and level of service we provide to our clients, customers, and shareholders.
- Information or data entered into a website will be retained. The information we collect depends on how you use our website (see our Cookie Notice at: <https://www.federatedhermes.com/us/policies/cookie-notice.do>).
- "Cookies" are used to improve your online experience. A cookie is a small file stored on your computer that recognizes whether you have visited our site before and identifies you each time you visit.
- We may also obtain Internet Protocol ("IP") addresses to monitor the number of visitors to the site.

### **Restricted Access Website**

Federated Hermes provides restricted sections of its websites for investment professionals and certain customers, clients, or shareholders. Information entered in these sites is only accessible by those individual clients or shareholders, persons with whom they share access information, a limited number of Federated Hermes employees, and Federated Hermes' authorized service providers who maintain website functionality. Federated Hermes does not permit the use of that information for any purpose, or the renting, selling, trading, or otherwise releasing or disclosing of information to any other party.

### **Email**

If you have opted to receive marketing information from Federated Hermes by email, we require that all messages include instructions for canceling subsequent email programs. Some products or services from Federated Hermes are intended to be delivered and serviced electronically. Email communication may be utilized in such cases. Please do not provide any account or Personal Information such as Social Security numbers, account numbers, or account balances within your email correspondence to us. We will not use unsecured email to execute transaction instructions, provide personal account information, or change account registration.

### **Surveys / Aggregate Data**

Periodically, Federated Hermes may conduct surveys about financial products and services or review elements of information in an effort to forecast future business needs. We then generate reports that are used for Federated Hermes' own planning, analytical, and other related purposes.

### **Changes to Our Privacy Notice**

Federated Hermes reserves the right to modify this Privacy Notice at any time. We will notify you of any changes that may affect your rights under this Privacy Notice.

### **We Welcome Your Comments**

Federated Hermes welcomes your questions and comments about this Privacy Notice. Client Service Representatives are available at 1-800-341-7400, Option 4, Monday through Friday from 8:00 a.m. to 6:00 p.m. ET.

This Privacy Notice applies to Federated Hermes, Inc. and each of its wholly owned broker-dealer, investment advisor and other subsidiaries.